

2 **Beyond “green buildings:” exploring the effects**
3 **of Jevons’ Paradox on the sustainability of archival**
4 **practices**

5 **Mark Wolfe**

6
7 © Springer Science+Business Media B.V. 2011

8 **Abstract** The sustainability of archival institutions will be greatly affected by
9 attempts to mitigate their carbon footprint to meet the challenges of global climate
10 change. This paper explores how recordkeeping practices may enhance or under-
11 mine the sustainability of archives. To enhance sustainability, it is a common
12 practice to increase the efficiency of recordkeeping practices. However, increases to
13 efficiency may lead to a phenomenon known as Jevons’ Paradox. Jevons’ Paradox
14 occurs when improvements in efficiency to a system or process result in an increase
15 in use (instead of a decrease) of a resource. The failure of the paperless office
16 demonstrates Jevons’ Paradox, and it has wide implications for the future sustain-
17 ability of repositories. This paper advances the notion that “green” technologies
18 alone are not enough to ensure sustainability. They must be deployed in concert
19 with a systematic use of archival practices and theories for environmental sus-
20 tainability to be ensured.

21
22 **Keywords** Jevons’ Paradox · Efficiency · Paperless office · Sustainability
23

24 **Introduction**

25 Recent literature on the environmental sustainability of archives has largely focused
26 on “greening” repository design and infrastructure (Kim 2008; Saie Belaišch 2008;
27 Jankowska and Marcum 2010). The drive toward green archives reflects a larger
28 movement that uses energy efficient technology to mitigate the carbon footprint of
29 buildings. Climate change and dwindling natural resources pose external risks to the
30 sustainability of archival repositories through higher energy prices and “carbon

A1 M. Wolfe (✉)
A2 Curator of Digital Collections, University Libraries, University at Albany, State University
A3 of New York, 1400 Washington Ave., Albany, NY 12222, USA
A4 e-mail: mwolfe@uamail.albany.edu

31 taxes.”¹ The implementation of energy efficient infrastructure is a needed and a
 32 logical response to such environmental risks. However, the process of transitioning
 33 archival repositories to energy efficient and environmentally sustainable institutions
 34 cannot rely on infrastructure improvements alone. Our professional theories and
 35 practices must also be understood and applied in such a way that they enhance the
 36 sustainability of repositories.

37 The exponential growth in the number of records poses internal risks to the
 38 sustainability of repositories. It is widely acknowledged that information technology
 39 (IT) and office automation have wrought tremendous growth in the number of
 40 modern records (Lyman and Varian 2003; Hey and Trefethen 2003; Pember and
 41 Cowan 2009), which in turn suggests that archivists will be confronted with
 42 increasingly larger collections. Information about how bulk in recordkeeping
 43 organizations grows is important in understanding the scope of the problem of
 44 mitigating the environmental impact of storage and preservation requirements.
 45 Bigger collections require bigger repositories, which exact costs through building
 46 materials and energy usage. What is the significance of the exponential growth of
 47 records in a future where smaller and potentially more expensive building design is
 48 required?

49 This paper explores the causes of growth in records through the lens of Jevons’
 50 Paradox. Jevons’ Paradox is an observation that *efficiency* enhancements to a system
 51 or a process can actually increase overall usage of a resource instead of decreasing
 52 it. In the fields of energy conservation and economics, Jevons’ Paradox is used to
 53 dispel the notion that sustainability can passively emerge solely from efficiency
 54 improvements to energy use (Alcott 2005; Polimeni 2009). This paper explores the
 55 same line of inquiry as it relates to IT and archival practices. My use of Jevons’
 56 Paradox will be explored through the advent of the personal computer (PC)
 57 revolution to better understand the causes of the failure of the “paperless office,”
 58 and its implications for archival sustainability. For instance, Jevons’ Paradox may
 59 give us new insight in how the growth of records has compelled archivists to use
 60 increasingly sophisticated archival practices and technologies. This sophistication,
 61 while allowing us to sustain our archival missions, also brings increasingly higher
 62 costs in time and resources. Minimal processing and postcustodial strategies are
 63 briefly explored in light of the discussion about efficiency as two relatively new
 64 practices that may offer directions toward archival sustainability.

65 Jevons’ Paradox and the problem of efficiency

66 In the nineteenth century, economist William Stanley Jevons posited that when
 67 improvements in technology make it possible to use a resource more efficiently, the
 68 overall consumption of that input will *increase*, not decrease contrary to
 69 conventional wisdom. Jevons (1865) published his ideas on efficiency in a book
 70 entitled, *The Coal Question: An Inquiry Concerning the Progress of the Nation, and*

¹ A “carbon tax” is a tax levy on the use of carbon-based fossil fuels intended to slow the rise of global warming (Hoeller and Wallin 1991).

71 *the Probable Exhaustion of our Coal-mines*. As the title suggests, Jevons was
 72 concerned about the sustainability, in modern parlance, of Britain's accustomed way
 73 of life and its political and economic supremacy. The efficiency improvements
 74 made to steam engine technology made coal an affordable energy source for trains,
 75 boats and homes: the applications were endless, and coal consumption rose like
 76 never before. Jevons (1865) writes:

77 It is wholly a confusion of ideas to suppose that the economical use of fuel is
 78 equivalent to a diminished consumption. The very contrary is the truth (p.
 79 103).

80 Britain's industrial expansion was due, in part, to its seemingly endless supply of
 81 coal reserves to fuel its factories. But, once Jevons observed the steady increases in
 82 coal consumption, he feared that Britain would soon exhaust the valued resource
 83 and its supremacy would decline. Because coal as a fuel for transportation and
 84 heating was eventually replaced by natural gas and oil, Jevons' writings were
 85 seldom read until the oil shocks of the 1970s.²

86 Without an understanding of Jevons' Paradox, institutions that aim to become
 87 sustainable through energy efficiency, technology improvements or "green"
 88 repository design might actually consume more energy and resources rather than
 89 less (Polimeni and Iorgulescu Polimeni 2006; Holladay 2009). Jevons' Paradox,
 90 however, is not limited to understanding the economics of energy use and building
 91 design. The failure of the paperless office might be better understood through the lens
 92 of Jevons' Paradox.

93 **The failure of the paperless office**

94 Over 30 years have passed, and we are still waiting for the arrival of the paperless
 95 office, yet paper proliferates like never before. In Lancaster's (1978) book, *Toward*
 96 *Paperless Information Systems*, a bold vision of the modern office was presented in
 97 which the records creator writes, stores and retrieves all of his information through a
 98 computer monitor, unencumbered by paper. The exponential growth of records has
 99 haunted archivists for decades if not centuries, but it was not until the advent of the
 100 PC that information and computer experts thought that this trend could be slowed
 101 and potentially stopped. Building on the growing belief that word processing would
 102 revolutionize office communication ("Office of the Future" 1975), Lancaster (1978)
 103 believed that Vannevar Bush's futuristic Memex machine could finally be realized
 104 in what Lancaster dubbed the "The Library in a Desk" (pp. 2–3). The dreams of a

2FL01 ² The "Khazzoom-Brookes postulate" rearticulated Jevons' Paradox as the "rebound effect" for fuel
 2FL02 consumption for the automobile. The postulate was based on research conducted during the oil shocks of
 2FL03 the 1970 s to better understand patterns of fuel consumption. Economists Daniel Khazzoom and Leonard
 2FL04 Brookes independently observed (interestingly with no knowledge of the work of Jevons) that high
 2FL05 gasoline prices drove down consumption and inspired greater fuel efficiency in automobiles only
 2FL06 temporarily. The net effect of improved efficiency was an overall increase in fuel consumption in the long
 2FL07 term. See, http://en.wikipedia.org/wiki/Khazzoom-Brookes_postulate. Accessed 13 January 2011.

105 paperless office not only fulfilled the desire to get access to the organizational
 106 knowledge locked away in filing cabinets, but it also promised to increase the
 107 productivity of the organization.

108 The advent of modern information technologies such as vertical filing, teletype
 109 and pneumatic tube systems helped give rise to modern recordkeeping; these
 110 technologies allowed organizations to coordinate actions through memos, circulars
 111 and other communication methods in ways that surpassed handwritten, hand-
 112 delivered communication (Yates 1989). The history of the modern office has been
 113 one where the design of organizational structures is informed by contemporary
 114 communication technologies. The fanfare and excitement from business experts
 115 preceding the widespread introduction of the PC perhaps makes the failure of the
 116 paperless office even more notable. In hindsight, we can see the advent of the PC
 117 was another defining step in the evolution of the relationship between IT and the
 118 environment of organizations.

119 Lancaster's notions about the future of information were visionary, but one
 120 shortcoming of this vision was to assume that the introduction of computers would
 121 dictate the decline of paper documents (Young 2008, p. 852). With the rise of cheap
 122 computer equipment and word processing software, it was believed that digital
 123 documents would be substituted for paper as a resource and lead to the demise of
 124 costly paper usage. Contrary to expectations, paper usage increased like never
 125 before, leading York (2006) to label this phenomenon the "Paperless Office
 126 Paradox". York (2006) makes a fine distinction between Jevons' Paradox that
 127 hinges on efficiency and his own assertion of the "Paperless Office Paradox,"
 128 suggesting that substituting one resource (electronic document storage) for another
 129 (paper document storage) leads to the same increase in consumption though their
 130 causes may have diverse explanations. York (2006) focuses on determining whether
 131 or not Jevons' Paradox can be generalized to other efficiency and consumption
 132 situations and concludes that it can be.

133 While photocopiers had been a common feature in the modern office, the desktop
 134 printer and printer/copier units made the unprecedented proliferation of
 135 paperwork a possibility. Before the rise of inexpensive, labor-saving office
 136 computers and printers, commercial printers handled the majority of printing using
 137 offset printing, a process that was expensive and required specialized knowledge.
 138 Additional time was required to place the order and deliver the document for
 139 printing. The cost, compared to today's standards, would have limited printing to
 140 the final product only. Innovations in desktop publishing software and printer
 141 technology have led to new forms of office printing, such as the ability to
 142 print images on photograph paper or to create large posters for office charts and
 143 displays.

144 Between 1983 and 1993, there was only a 5% increase in photocopiers in offices,
 145 but PC printers increased by 600% (Sellen and Harper 2002, p. 14). The explosion
 146 of cheap printer technology allowed offices to create and print documents on
 147 demand with newfound ease. At every desk, the office worker could print limitless
 148 drafts of documents and circulars, and print out emails, no matter how trivial (van
 149 der Merwe 2006). The printing of email alone accounts for as much as 14% of paper
 150 consumed at one university (Riley 2001). Sellen and Harper (2002) suggest the

151 paperless office failed due to the unrecognized *affordances* of paper. Paper, they
 152 demonstrate, affords users the ability to stack, annotate, share and arrange in
 153 meaningful and effective ways that promote productive information sharing and
 154 reference. Their research on paper document usage offers a fresh view of office
 155 culture that has been almost entirely ignored in favor of human–computer
 156 interaction research. Jevons similarly believed that it was the utility and the low
 157 cost of a commodity that drove its consumption.

158 But what Sellen and Harper fail to explain are the Paradoxical effects of
 159 efficiency-enhancing tools such as the office PC and desktop printers. When given
 160 the opportunity (through inexpensive or time saving methods) to consume a
 161 resource of high utility such as paper, people and organizations tend to consume
 162 more rather than less. While the effects of efficiency improvements to IT are
 163 apparent in the statistics, we read about paper usage, their theoretical causes are
 164 difficult to understand and it has been acknowledged that more research is required
 165 (Sorrell 2009). The lack of “provability” has been the rub for many critics of the
 166 alleged connection between efficiency improvements and increased use. Perhaps the
 167 industry research that tends to look only at increased efficiency of a single device on
 168 the micro-level misleads office managers about the true macro-level effect efficient
 169 IT brings to the entire recordkeeping environment. Jevons (1865) states that “new
 170 applications of coal are of an unlimited character,” and this certainly holds true for
 171 new ways to “consume” paper through office communication (p. 151). While
 172 beyond the scope of this paper, cheap data storage has offered unprecedented ways
 173 to consume and create information. Businesses looking to “cloud computing”
 174 to reduce costs are having second thoughts; most reports suggest that the cheaper IT
 175 becomes the more likely data storage needs will increase (Brooks 2011). The
 176 unceasing increase in data storage needs is leading some IT experts to express doubt
 177 about the ability of “cloud computing” and greater investment in IT to reduce
 178 greenhouse emissions (Tomlinson et al. 2011).

179 Jevons’ Paradox poses great concerns to the environmental sustainability
 180 community, especially since we often rely on efficiency gains as a primary method
 181 to lower the impact of our carbon emissions. However, one might argue that it is
 182 through efficiency improvements that organizations have been allowed to grow and
 183 build on past successes. Economists and business leaders talk of “growing” and
 184 “expanding” business, so it follows that records and communication would be a
 185 necessary component of that growth. These unintended consequences of increased
 186 efficiency beg the question: what do business leaders mean when they talk about
 187 “sustainable growth”? Greater efficiency, it is typically thought, allows businesses
 188 to do the same with *less*. If Jevons’ Paradox holds true for recordkeeping
 189 organizations as it has for the energy conservation sector, it suggests that every
 190 increase in efficiency to records creation will most likely be accompanied by *more*
 191 information to process, manage and preserve. When we consider methods to control
 192 the growth of records, Jevons’ Paradox questions the notion that sustainability can
 193 *passively emerge solely from efficiency improvements* to technologies and archival
 194 practices. The following section explores how archivists have been affected by the
 195 ever-increasing efficiency in production of records.

196 **Repositories in the “age of abundance”**

197 Rapport (1981), writing under the influence of the 1970s energy crisis, stated:
 198 “space, material, energy, instead of being free and limitless, are becoming scarcer
 199 and costlier” (p. 143). Rapport was writing at a time when concerns over energy
 200 costs were a part of everyday life in the business sector. Rapport’s insistence that
 201 reappraisal be a regular archival practice was not just an attempt to get unused
 202 records off the shelves and into the dumpster, it also implicitly suggests his
 203 understanding that limits to growth were in order if repositories were to remain
 204 viable into the future. Ham (1984) has coined our era of modern collections as the
 205 “age of abundance.” With each generation of archivists, the task of acquiring and
 206 preserving documents has become increasingly marked by the need to apply
 207 increasingly more theory and practice that accommodate the growing complexity
 208 and bulk in modern collections. The alarming trend in the rising number of records
 209 to be appraised by archivists has not changed, yet the engagement of its cause has
 210 been sparse in recent archival literature. Schellenberg (1956), writing before Ham
 211 and Rapport, adopted a Malthusian tone toward the topic:

212 Records management is thus concerned with the whole life span of most
 213 records. It strives to limit their creation, and for this reason one finds “birth
 214 control” advocates in the record management field as well as in the field of
 215 human genetics (p. 37).

216 Schellenberg bemoans the existence of documents, but if they must exist, they
 217 must serve a clear and important business need. Schellenberg’s attitude contrasts
 218 greatly with contemporary archivists who have adopted a seemingly self-imposed
 219 belief that “life” must be preserved at all costs; a belief that is even more evident
 220 with electronic records. In contrast to Schellenberg’s era, there is a growing interest
 221 to preserve records that once would have been considered ephemeral or beyond the
 222 technical expertise of archivists.

223 According to Blouin (2011), Ham “focused on *eliminating* records rather than
 224 preserving them,” which contrasted greatly with his contemporary, Frank Burke,
 225 who pressed archivists to be more active in interpreting and shaping the historical
 226 record (p. 45). Our profession continues to view the historical record from both
 227 perspectives: that of the historian who must shape the collection and that of the
 228 pragmatist who must manage an ever-increasing collection. The historian’s view of
 229 the archives may, in fact, limit our ability to look at the problem of bulk
 230 pragmatically. Jenkinson’s (1922) admonishment that “the Archivist is not and ought
 231 not to be an Historian” (p. 106) remains an important reminder to those seeking
 232 sustainable archives. Concurrently during the 1970s, library literature began to focus
 233 on the increasing inability to weed and manage book collection sizes among
 234 academic libraries. The library building boom of the 1960s was winding down, and it
 235 was understood that book collections were overshooting the space limits of the
 236 libraries that were built for them; additionally, the cost of heating and cooling of
 237 libraries was an imminent concern due to the oil shocks of the 1970s (Mason 1975;

238 Gore 1974; Durey 1978).³ Indeed, libraries and archives were concurrently
 239 experiencing the same problem of overabundance. Blouin points out that, “by the
 240 end of the 1970s, American archivists along with their colleagues in other nations
 241 recognized the sheer practical impossibility of retaining the vast majority of diverse
 242 materials that societies were now generating about themselves” (p. 47).

243 Modern collections have brought us increased bulk and duplication of paper
 244 records, and they have also increased the difficulty of conducting appraisal (Ham
 245 1984). However, conventional wisdom suggests that archivists, in the face of this
 246 abundance, will need to invest in appraisal activities like never before. Yet,
 247 archivists have historically been reluctant to engage actively in appraisal for many
 248 reasons, and this neglect has led to the costly problem of large backlogs (Greene
 249 2010, pp. 177–178). Ironically, this foundational practice of the archival profession
 250 has been relegated in many cases to the processing room where student workers or
 251 interns carry out appraisal on an item-level basis (Greene 2010, p. 177). This *de*
 252 *facto* practice suggests that appraisal is a slow, laborious task that yields little in
 253 terms of impact on the collection except for insuring that duplicates are tossed and
 254 rusty paperclips are removed. Engaging the topic of appraisal has been additionally
 255 complicated by the increasing notion that it need not be conducted at all in some
 256 cases of electronic records. There is current discussion and action to preserve the
 257 Twitter archive (Raymond 2010), and there are calls to preserve cell phone records
 258 (Caswell 2009). One national archivist suggested that a “retain everything”
 259 approach could be used to preserve e-government records (Theimer 2009). It seems
 260 that the proliferation of digital information and widespread access to inexpensive
 261 storage have emboldened archivists to preserve electronic records to a degree that
 262 contrasts greatly with comparable paper records.

263 Modern collections, arguably, have become by conventional standards increas-
 264 ingly lower quality. Received wisdom about modern collections suggest that future
 265 records will reflect this trend of more bulk (and complexity), thus hindering
 266 archivists’ ability to appraise and researchers’ ability to understand the transactional
 267 and informational significance of modern records. Ham (1984) also points out that
 268 with modern collections, “despite the redundancy of modern records, there is also a
 269 problem of missing data” (p. 12). Yet, Ham’s notion of recordkeeping environments
 270 must be balanced against the increasingly popular notion that modern collections are
 271 more than just redundant information.

272 New directions in archival theory suggest that recordkeeping environments, like
 273 natural environments, are complex ecologies. Archival theories and practices, then,
 274 may be enhanced by ecological concepts developed in the natural sciences (Moore
 275 2007). Like the current understanding of the infinite relationships that exist between
 276 living organisms in their natural habitats, “the interconnections between archives,”
 277 Moore points out, “become more apparent; they become less like zoos and more
 278 like biomes, defined by their scope and locations, much like climate and latitude”
 279 (2007, p. 118). An ecological view of collections and repositories builds on the

3FL01 ³ Just as the 1970s energy crises inspired conservation and “limits to growth” among archivists and
 3FL02 librarians, the return of relatively cheap energy available in the 1980s led one author to reject Gore’s call
 3FL03 for zero growth libraries (Dowd 1989).

280 notion that human interactions, and thus the records those interactions create, are
 281 much more dynamic and complex than current archival theory affords. In
 282 environmental ecology terms, collections once viewed as useless “swampland”
 283 then become better understood as dynamic, interconnected and productive
 284 ecosystems. Writing from the perspective of a business historian, Yates (1985)
 285 suggested that acquisition of written communication in organizations could not be
 286 limited to top executives or the “tip-of-the-iceberg.”

287 While this ecological view of records is more reflective of the nature in which
 288 people transact, it poses a difficult question regarding how this new view will inform
 289 archival practice: What are the *costs* associated with this new view? These new
 290 holistic frameworks suggest that archivists seeking to take custody will likely be
 291 required to gather and make sense of additional information during the appraisal and
 292 selection process and consequently devote more resources in the form of processing
 293 and additional storage. The effects of increased speed and efficiency of IT have helped
 294 create modern records collections that have increased the workload of archivists, who
 295 are subsequently faced with a dilemma: deploy more complex applications of
 296 practices such as appraisal, arrangement and description to tame the bulk and
 297 complexity of modern collections; or simplify and look for ways to work within a
 298 framework of repositories and collections that mitigates the rising costs of processing
 299 complex records collections that require increasingly larger storage facilities.

300 Minimal processing as a labor-saving practice

301 Minimal processing brings new efficiencies through simplification of archival
 302 processing and the overall workflow of providing access to archival materials. Minimal
 303 processing greatly challenges previously held notions about what constitutes effective
 304 archival practices and moreover what are sustainable practices in light of growing
 305 backlogs of unprocessed materials. While enjoying great popularity, especially in recent
 306 economic austerity, this new efficiency is not without its critics (Van Ness 2010).

307 The appraisal and processing techniques that were the mainstay of the archival
 308 profession during “the age of archival scarcity” functioned well for archivists. The
 309 processing standards that emanate from that era have become increasingly more
 310 complex and cumbersome to administer. Recent trends in bulky collections,
 311 however, require that repositories increasingly use more resources in order to attain
 312 the same past productivity in processing collections. Arrangement and description
 313 increasingly entail costly processing due in part to the failure of the PC to better
 314 control office communication as discussed previously. Growing organizational
 315 complexity of records creators through increased interdependent linkages across
 316 functional departments suggests that we may never meet the same standards of
 317 arrangement and description once attained in decades past, except for those
 318 repositories that severely limit their collecting scope and mission.

319 Minimal processing has been promoted for the practical purpose of increasing
 320 access to collections. Advocates of minimal processing assert that many of our
 321 arrangement and description practices were born during the age of scarcity and must
 322 be altered if we are to process our growing backlogs. The detailed, folder, and

323 sometimes item-level archival processing that we have grown accustomed to can no
 324 longer be maintained in the “age of abundance.” To maintain, it would require that
 325 repositories increasingly use more resources in order to attain the same past
 326 productivity in processing collections. In the history of modern archives, minimal
 327 processing represents the first formal effort to bring increased labor-saving
 328 techniques to archival processing or, in other words, to make the practices of
 329 repositories more sustainable. Greene (1998) summarizes the rarely acknowledged
 330 crux of our records problem:

331 Despite lip service to having breached the transition to “an age of
 332 abundance,” we as a profession have not devised or embraced a practical
 333 means of refining our acquisition and appraisal approaches to fit our goals and
 334 resources (p. 128).

335 Greene considers the benchmarks set for description and arrangement sustainable
 336 during the age of archival scarcity, but unsustainable now. Applying seemingly
 337 more simplistic processing practices might at first suggest a diminished under-
 338 standing of a collection of records or the systems that created them. However, this
 339 simpler approach is one that recognizes not only the immensity and cost of detailed
 340 processing but also implicitly recognizes the inherent complexity of incoming
 341 collections, especially modern collections. Archivists have historically been tasked
 342 with creating order out of chaos, yet this view of recordkeeping agencies as
 343 “chaotic” is becoming increasingly less tenable.

344 Minimal processing has in effect brought to the fore the issue of who bears the
 345 burden of the new found efficiency. For example, a common criticism of minimal
 346 processing is that it shifts the burden from the processing unit of a repository to the
 347 reference services. Since there is less arrangement and detailed description on the
 348 folder level, reference services must then take on the burden of retrieving more
 349 boxes for every patron inquiry. Yet, advocates of this technique counter that patrons
 350 are much happier having *any* access to minimally processed collections than ones
 351 that linger in backlogs indefinitely (Gorzalski 2008, p. 192). Critics and advocates
 352 both see, and feel, the systemic effects that economizing an archival practice can
 353 cause in a repository, suggesting that certain archival practices might not be suitable
 354 for all collections or repositories. Minimal processing does in effect push the burden
 355 of preservation to the repository’s climate control system (Greene and Meissner
 356 2005, p. 231), which I cautiously believe is currently a workable solution. The
 357 assumption follows that traditional preservation treatments such as refolding and
 358 reboxing with acid-free enclosures, removing metal fasteners and the like are not
 359 needed with modern climate control. Our strict standards for climate control have
 360 required modern repository design to incorporate expensive heating and cooling
 361 systems. Thus, minimal processing is a relatively inexpensive approach to
 362 preservation, which may become a stumbling block later when institutions want
 363 to curb their carbon footprint. The debate surrounding who or what should bear the
 364 burden of efficiency-enhancing practices perhaps warrants further consideration for
 365 those wanting to transition to more sustainable repositories.

366 Looking at minimal processing through the lens of Jevons’ Paradox, the costs of
 367 processing collections would decrease initially because of the newfound efficiency



368 of this processing technique. The repository will have solved their backlog problem.
 369 But once this newly found efficiency has been put into practice, it follows that the
 370 repository will seek out even *more* acquisitions to further its mission as a collecting
 371 repository and the new efficiency would actually cause an overall increase in the
 372 holdings of a repository. Once there is no backlog that space can then be
 373 theoretically converted to permanent storage. Similarly, minimal processing
 374 techniques that harness finding aid information and other efficiencies are being
 375 developed for the application to digitized collections (Dietz and Ronallo 2011).
 376 With relation to the “efficiency paradox,” Bade (2010) states that we have “been
 377 dependent upon this “paradox” and in fact many information professionals are
 378 delighting in it and dreaming of a glorious future in which we will be the crown
 379 jewels of the information society.” Archives that measure organizational growth
 380 through acquiring and processing collections will be at odds with ensuring the goals
 381 of becoming more environmentally sustainable. In addition, McFarland (2007)
 382 points out, “the slaying of backlogs is not an end in and of itself” (p. 138), and she
 383 suggests that the user-centered philosophy that minimal processing endorses can be
 384 applied to many management functions in the archives. As more efficiencies are
 385 brought to the archives, managers need to be even more on guard for potential ways
 386 to “consume” their new found surpluses of time and space.

387 Minimal processing can in essence replicate the same burdensome effects of
 388 increased bulk by bringing even more collections into the repository. In this light,
 389 minimal processing can become victim to the problem it was designed to alleviate:
 390 *backlogs*. By accepting that limits to growth are a necessary component of
 391 sustainability, minimal processing cannot stand on its own; other archival practices
 392 must be used in concert to offset the potential overcrowding of repositories.
 393 Rigorously applying reappraisal and deaccessioning, practitioners of minimal
 394 processing explicitly admonish archivists to *actively* manage repositories through
 395 such complimentary techniques (Greene 1998, 2006). Clear and concise collecting
 396 policies must guide and limit archivists who may be eager to expand collections.
 397 Minimal processing offers the custodial archivist a method to “give in” to the
 398 inherent complexity of archival collections and poses the difficult question of
 399 whether or not our conventional descriptive practices of the past will ever be
 400 adequate to capture the interconnected nature of our collections. Minimal processing
 401 signals a potential way to enhance archival sustainability through simplification and
 402 efficiency-enhancing techniques rather than attempting to maintain the often
 403 burdensome and complex archival practices of the past. While minimal processing
 404 does not directly lower the size of archival collections, if used with care, it does lower
 405 the costs of processing to those repositories with declining budgets.

406 Systemic thinking through postcustodial strategies

407 The postcustodial era was born out of an attempt to raise awareness of the problems
 408 that unsystematic collection management has brought to repositories in the “age of
 409 abundance.” The material conditions of repositories drove much of Ham’s
 410 philosophical thinking about the historical role custody played in the modern

411 archives. Ham (1984) admonishes archivists to look beyond their own repositories
412 and consider a more systematic approach to their work:

413 This age of overabundant records and information, combined with increasing
414 scarcity of resources, is forcing archivists to replace their essentially unplanned
415 approach to archival preservation with a “systematic, planned, documented
416 process of building, maintaining, and preserving collections” (p. 13).

417 For Ham, the modern repository had become an ossified institution lacking long-
418 term planning ability to accommodate an “age of abundance.” Just as minimal
419 processing asks that archivists relinquish control over the minutiae of their
420 collection processing, postcustodialism asks that archivists relinquish control of
421 managing the costly archival infrastructure that custodialism requires. Taking
422 custody of records enacts costs on the repository through climate control, storage
423 space, processing the collections and providing access. Rather than shifting the
424 burden of custody as once understood to the archives, postcustodialism *actively*
425 manages records as they reside with the records creator (Pearce-Moses 2005). Ham
426 saw how unsystematic repositories had become in the way they passively functioned
427 and in their lack of understanding of the “ecosystem” of records creators and
428 preservers. Ham’s view of this situation is akin to Peter Senge’s pithy observation
429 that “the cure can be worse than the disease.” Senge (2006) explains:

430 The long-term, most insidious consequence of applying non-systemic
431 solutions is increased need for more and more of the solution. This is why
432 ill-conceived government interventions are not just ineffective, they are
433 “addictive” in the sense of fostering increased dependency and lessened
434 abilities of local people to solve their own problems. The phenomenon of
435 short-term improvements leading to long-term dependency is so common, it
436 has its own name among system thinkers—it’s called “Shifting the Burden to
437 the Intervener” (p. 61).

438 For archivists, the increasing complexity and bulk of modern records have
439 compelled more costly practices and maintenance of repositories. In a more
440 sustainable approach, the archivist manages the system rather than the individual
441 records or “outputs” of the system. Proponents of this “do nothing” approach to
442 management can be found across disciplines (Fukuoka 2009). In food systems,
443 permaculture practitioners have discovered that imitating natural food producing
444 environments is more productive than conventional agriculture. Food patterns found
445 in nature are imitated to increase productivity and minimize labor. Decentralizing
446 how records are managed has helpful parallels to the permaculture movement,
447 which reveals how conventional agriculture has shifted the burden of maintaining
448 the functionality of the natural ecosystem to the intervenor (farmer). Permacult-
449 uralists Jacke and Toensmeier (2006) pose the problem as such:

450 The unintended consequences of this intervention throw the system out of
451 balance, disrupt essential functions, and increase the system’s reliance on
452 intervention to maintain balance. The intervenor then bears the burden of
453 maintaining the system’s integrity (p. 20).



454 By intervening in a healthy and self-maintaining ecosystem with pesticides and
 455 herbicides, the farmer takes on the costly burden of maintaining the healthy function
 456 of that ecosystem. The farmer (custodial archivist) unintentionally degrades the
 457 ecosystem's self-maintenance abilities (records creator) and sets up a cycle of
 458 developing expensive unsystematic solutions to problems. The intervenor then
 459 becomes the caretaker of the *entire* system. As Ham recognized, maintaining the
 460 archives as the sole place of custody has required ongoing problem solving that
 461 inherently brings with it unwanted costly complexity. The era of electronic records
 462 has made the burden of custody even more acute. Archivists are charged with
 463 managing the transfer of electronic records from a myriad of systems, each with its
 464 own applications, operating systems, hardware and file formats. In this instance,
 465 custodialism compels the use of additional energy rather than *following*, in effect, its
 466 abundance, which can be seen residing with the creator whether it be in business or
 467 community records. While additional "custodial" costs can mount on top of the
 468 costs of the system itself, relatively affordable options may present themselves to
 469 the creator not available to the custodial archivist (Bearman 1991).

470 Another example can be seen in how *respect des fonds* and original order might
 471 find their ultimate expression by remaining in the originating agency, a concept that
 472 has recently found expression with the rise of "community archives." Bastian
 473 (2003) has expanded our conventional notion of custody and provenance and
 474 suggests that a "community of records may be further imagined as the aggregate of
 475 records in all forms generated by multiple layers of actions and interactions between
 476 and among the people and institutions within a community" (p. 5). The
 477 postcustodial era offers archivists opportunities to relegate their control to the
 478 records creators and independent preservers as another technique to mitigate the
 479 increasing bulk of their collections. The "community archives" phenomenon (Flinn
 480 2007) finds nonprofessional organizations and communities asserting ownership of
 481 their own histories; this development "can problematise the conventional notions of
 482 the archive" (Flinn et al. 2009, pp. 73–74). In some cases, community records have
 483 been deaccessioned and given back to their cultural owners, and repositories have
 484 entered into mutually beneficial relationships (Wareham 2001).

485 In the postcustodial era, many archivists have gravitated toward a more holistic
 486 view of recordkeeping. Inter-institutional cooperation along with distributed
 487 custody may be just one method for promoting what the late Hugh Taylor (1993)
 488 calls "soft energy paths" that are "relatively self-supporting" (p. 209). Postcus-
 489 todialism and minimal processing are suggestive of possible productive frameworks
 490 for archivists to build a more sustainable future; both feature methods for
 491 "harnessing complexity" in ways that minimize direct, and costly, intervention in
 492 the complexity of the records by the archivist.

493 Conclusions and reflections

494 Failed attempts to reduce paper consumption in modern businesses through the
 495 introduction of the PC have serious implications for the future sustainability of
 496 repositories. The growing abundance of paper-based records suggests that archival

497 repositories will be challenged by internal risks to sustainability from the rising
 498 amounts of records to appraise and preserve decades into the future. And, at the
 499 same time, archivists will need to respond to the increasing external pressures to
 500 mitigate their carbon footprint associated with brick and mortar repositories. Jevons'
 501 Paradox is regaining attention among sustainability experts, which will shed
 502 additional light on this poorly understood subject for the archival profession to
 503 consider. Jevons' Paradox teaches us that the temptation to look for new places to
 504 "spend" a newly earned "savings" from an efficiency improvement to a repository,
 505 whether it be through improved building design or labor-saving practice, may be too
 506 great for organizations that are conditioned to grow.

507 Ultimately, the environmental sustainability of our repositories is as much a
 508 behavioral hurdle as it is a technological one. Until there are economic incentives or
 509 mandates to choose environmental friendly technologies such as a "carbon tax,"
 510 archival institutions are unlikely to voluntarily make deep and long-term commit-
 511 ments to such choices. Indeed, the Climate Change Act of 2008 has put carbon
 512 reduction front and center for higher education institutions in the UK (SQW
 513 Consulting and SQW Energy 2009). If a "carbon tax" is our only chance to mitigate
 514 our environmental impacts, then archivists will have to redefine how they mark
 515 prosperity in their repositories in a future where repository sizes may remain stagnate
 516 or even shrink. While a governmental mandate may be our only hope to mitigate the
 517 climate change crisis, legislation is coming up against fierce resistance in Australia
 518 because of fear that such a tax will degrade living standards (Martin and Grattan 2011).

519 As we better understand the risks that Jevons' Paradox poses, archivists must use
 520 both archival theory and practice in developing a more coherent and realistic
 521 understanding of the modern records creation process. Forays into electronic records
 522 research have created new tools for modeling and analyzing recordkeeping systems
 523 (Upward 2000); these tools can provide valuable information to archivists seeking
 524 to enhance their sustainability. While I believe that minimal processing and
 525 postcustodial practices hold great hope for archivists looking to obtain new
 526 efficiencies in their repositories and archival programs, even the low maintenance
 527 requirements of such practices, if not applied properly, may lead to unintended
 528 increases in collections to manage and preserve. As the need to mitigate energy
 529 consumption in our repositories comes into focus, we may need to reconsider what
 530 custody actual entails in terms of the size of the repository and the energy and
 531 building materials it requires.
 532

533 References

- 534 Alcott B (2005) Jevons' paradox. *Ecol Econ* 54:9–21
 535 Anonymous (1975) The office of the future. *Bus week* 2397:48–70 (Washington, DC, pp 33–42)
 536 Bade D (2010) Thinking about efficiency in libraries. *J Doc* 66. [http://www.emeraldinsight.com/](http://www.emeraldinsight.com/journals.htm?issn=0022-0418&volume=66&issue=1&articleid=1833140&show=html)
 537 [journals.htm?issn=0022-0418&volume=66&issue=1&articleid=1833140&show=html](http://www.emeraldinsight.com/journals.htm?issn=0022-0418&volume=66&issue=1&articleid=1833140&show=html). Accessed 12
 538 Apr 2011
 539 Bastian JA (2003) *Owning memory: how a Caribbean community lost its archives and found its history*.
 540 Libraries Unlimited, Westport

- 541 Bearman D (1991) An indefensible bastion: archives as a repository in the electronic age. In: Bearman D
 542 (ed) Archival management of electronic records, archives and museum informatics technical report
 543 #13. Archives & Museum Informatics, Pittsburgh, pp 14–24
- 544 Bearman D (1996) Item level control and electronic recordkeeping. *Arch Mus Infor* 10:195–245
- 545 Blouin F (2011) Processing the past: contesting authorities in history and the Archives. Oxford University
 546 Press, New York
- 547 Blue Ribbon Task Force on Sustainable Digital Preservation and Access (2010) Sustainable economics
 548 for a digital planet ensuring long-term access to digital information. Blue Ribbon Task Force on
 549 Sustainable Digital Preservation and Access, La Jolla
- 550 Bradley K (2007) Defining digital sustainability. *Libr Trends* 56:148–163
- 551 Brooks C (2011) Cloud computing and the Jevons Paradox. Searchcloudcomputing.com. [http://](http://searchcloudcomputing.techtarget.com/news/2240031009/Cloud-computing-and-the-Jevons-Paradox)
 552 searchcloudcomputing.techtarget.com/news/2240031009/Cloud-computing-and-the-Jevons-Paradox
 553 . Accessed 12 Apr 2011
- 554 Caswell M (2009) Instant documentation: cell-phone-generated records in the archives. *Am Arch*
 555 72:133–145
- 556 SQW Consulting and SQW Energy (2009) Research into a carbon reduction target and strategy for higher
 557 education in England. http://www.hefce.ac.uk/pubs/rereports/2009/rd16_09/rd16_09.pdf. Accessed
 558 25 Mar 2011
- 559 Dietz B, Ronallo J (2011) Automating a digital special collections workflow through iterative
 560 development. ACRL 2011. In: Proceedings, Philadelphia [http://www.ala.org/ala/mgrps/divs/acrl/](http://www.ala.org/ala/mgrps/divs/acrl/events/national/2011/papers/automating_digital_s.pdf)
 561 [events/national/2011/papers/automating_digital_s.pdf](http://www.ala.org/ala/mgrps/divs/acrl/events/national/2011/papers/automating_digital_s.pdf). Accessed 12 Apr 2011
- 562 Dowd S (1989) Alexandria revisited: another look at space and growth. *Collect Build* 9(3/4):65–72
- 563 Durey P (1978) Steady state and library management. In: Steele C (ed) Steady-state, zero growth and the
 564 academic library: a collection of essays. Clive Bingley, London, pp 64–82
- 565 Flinn A (2007) Community histories, community archives: some opportunities and challenges. *J Soc*
 566 *Archivists* 28:151–176
- 567 Flinn A, Stevens M, Shepherd E (2009) Whose memories, whose archives? Independent community
 568 archives, autonomy and the mainstream. *Arch Sci* 9:73–74
- 569 Fukuoka M (2009) The one-straw revolution: an introduction to natural farming. The New York Review
 570 of Books, New York
- 571 Gore D (1974) Zero growth for the college library. *Coll Manage* 9(7):12–14
- 572 Gorzalski M (2008) Minimal processing: its context and influence in the archival community. *J Arch*
 573 *Organ* 6(3):186–200
- 574 Greene MA (1998) ‘The surest proof’: a utilitarian approach to appraisal. *Archivaria* 45:127–169
- 575 Greene MA (2006) I’ve deaccessioned and lived to tell about it: confessions of an unrepentant
 576 reappraiser. *Arch Issues* 30:7–22
- 577 Greene MA (2010) MPLP: It’s not just for processing anymore. *Am Arch* 73:175–203
- 578 Greene MA, Meissner D (2005) More product, less process: revamping traditional archival processing.
 579 *Am Arch* 68:208–263
- 580 Ham G (1984) Archival choices: managing the historical records in an age of abundance. *Am Arch*
 581 47:11–22
- 582 Hey T, Trefethen A (2003) The data deluge: an e-science perspective. In: Grid computing: making the
 583 global infrastructure a reality Wiley and Sons, West Sussex, England
- 584 Hoeller P, Wallin M (1991) Energy prices, taxes and carbon dioxide emissions. In: OECD economic
 585 studies. <http://www.oecd.org/dataoecd/33/26/34258255.pdf>. Accessed 12 Apr 2011
- 586 Holladay M (2009) The Jevons Paradox: how efficiency improvements may be undermining sustainabil-
 587 ity. Green Building Advisor.com. [http://www.greenbuildingadvisor.com/blogs/dept/musings/jevons-](http://www.greenbuildingadvisor.com/blogs/dept/musings/jevons-paradox)
 588 [paradox](http://www.greenbuildingadvisor.com/blogs/dept/musings/jevons-paradox). Accessed 12 Apr 2011
- 589 Jacke D, Toensmeier E (2006) Edible forest gardens: ecological vision and theory for temperate-climate
 590 permaculture. Chelsea Green, White River Junction
- 591 Jankowska MA, Marcum JW (2010) Sustainability challenge for academic libraries: planning for the
 592 future. *Coll Res Libr* 71:160–170
- 593 Jenkinson H (1922) A manual of archive administration including the problems of war archives and
 594 archive making. Clarendon Press, Oxford
- 595 Jevons WS (1865) The coal question; an inquiry concerning the progress of the nation, and the probable
 596 exhaustion of our coal-mines. MacMillan, London

- 597 Kim SG (2008) Archives: applications of green construction to archival facilities. *Primary Sour* 28(1).
 598 http://www.msarchivists.org/theprimarysource/psvol28no1/psvol28no1_kim2.htm. Accessed 13 Jan
 599 2011
- 600 Lancaster FW (1978) *Toward paperless information systems*. Academic Press, New York
- 601 Lanier J (2010) *You are not a gadget*. Random House, New York
- 602 Lyman P, Varian HR (2003) How much information? [http://www2.sims.berkeley.edu/research/projects/
 603 how-much-info-2003/printable_report.pdf](http://www2.sims.berkeley.edu/research/projects/how-much-info-2003/printable_report.pdf). Accessed 12 Apr 2011
- 604 Martin M, Grattan M (2011) Abbot declares carbon ax 'toxic'. *The age* March 28
- 605 Mason E (1975) Balbus; or the future of library buildings. In: Gore D (ed) *Farewell to Alexandria:
 606 solutions to space growth and performance problems of libraries*. Greenwood Press, Westport,
 607 pp 22–33
- 608 McFarland C (2007) Rethinking the business of small archives. *Arch Issues* 31(2):37–149
- 609 Moore E (2007) Birds of a feather: some fundamentals on the archives ecology paradigm. *Archivaria*
 610 63:103–119
- 611 Pearce-Moses, R (2005) A glossary of archival and records terminology, Society of American Archivists,
 612 Chicago, IL s.v. postcustodial theory of archives. [http://www.archivists.org/glossary/term_details.asp?
 613 DefinitionKey=327](http://www.archivists.org/glossary/term_details.asp?DefinitionKey=327). Accessed 13 Jan 2011
- 614 Pember M, Cowan RA (2009) Where is the record we have lost in information? In: Pember M, Cowan RA
 615 (eds) *iRMA information and records management annual 2009*. RMAA, St Helens, pp 1–15
- 616 Polimeni JM (2009) Introduction. In: Polimeni JM (ed) *The Jevons Paradox and the myth of resource
 617 efficiency improvements*. Earthscan, Sterling, pp 1–5
- 618 Polimeni JM, Iorgulescu Polimeni R (2006) Jevons' Paradox and the myth of technological liberation.
 619 *Ecol Complex* 3:344–353
- 620 Rapport L (1981) No grandfather clause: reappraising accessioned records. *Am Arch* 44:143–150
- 621 Raymond M (2010) How tweet it is!: library acquires entire twitter archive. *Library of Congress Blog*
 622 April 14. <http://blogs.loc.gov/loc/2010/04/how-tweet-it-is-library-acquires-entire-twitter-archive/>.
 623 Accessed 13 Jan 2011
- 624 Riley P (2001) E-mail's contribution to total paper consumption on the U.C. Berkeley Campus: An
 625 investigation of the printing behavior of both students and staff. ZDNet. [http://www.zdnetasia.com/
 626 whitepaper/e-mail-s-contribution-to-total-paper-consumption-on-the-u-c-berkeley-campus-an-
 627 investigation-of-the-printing-behavior-of-both-students-and-staff_wp-392717.htm](http://www.zdnetasia.com/whitepaper/e-mail-s-contribution-to-total-paper-consumption-on-the-u-c-berkeley-campus-an-investigation-of-the-printing-behavior-of-both-students-and-staff_wp-392717.htm). Accessed 13 Jan
 628 2011
- 629 Saïe Belaisch F (2008) Green archives buildings: archive building and sustainable development. *Comma*
 630 2:133–138
- 631 Schellenberg TR (1956) *Modern archives: principles and techniques*. University of Chicago Press,
 632 Chicago
- 633 Sellen AJ, Harper R (2002) *The myth of the paperless office*. MIT Press, Cambridge
- 634 Senge PM (2006) *The fifth discipline: the art and practice of the learning organization*. Doubleday, New
 635 York
- 636 Sorrell S (2009) Jevons' Paradox revisited: the evidence for backfire from improved energy efficiency.
 637 *Energy Policy* 37:1456–1469
- 638 Taylor H (1993) Recycling the past: the archivist in the age of ecology. *Archivaria* 35:203–213
- 639 Taylor P (1995) Battle is on to control avalanche of paper in the electronic office. *Financial times*
 640 November 1 IT Review of Information Technology, vol 1. p 1
- 641 Theimer K (2009) Interesting statement from Ferriero. *ArchivesNext*, October 7. [http://www.archives
 642 next.com/?p=508](http://www.archivesnext.com/?p=508). Accessed 13 Jan 2011
- 643 Tomlinson B, Silberman M, White J (2011) Can more efficient IT be worse for the environment?
 644 *Computer* 44:87–89
- 645 Upward F (2000) Modelling the continuum as paradigm shift in recordkeeping and archiving processes,
 646 and beyond—a personal reflection. *Rec Manage J* 10:115–139
- 647 van der Merwe P (2006) Scissors beats paper, paper beats technology. *Brainstorm Magazine*.
 648 [http://www.brainstormmag.co.za/index.php?option=com_content&view=article&id=797&Itemid=
 649 86](http://www.brainstormmag.co.za/index.php?option=com_content&view=article&id=797&Itemid=86). Accessed 13 Jan 2011
- 650 Van Ness C (2010) Much ado about paper clips: 'more product less process' and the modern manuscript
 651 repository. *Am Arch* 73:129–145
- 652 Wareham E (2001) Our own identity, our own Taonga, our own self coming back: indigenous voices in
 653 New Zealand record-keeping. *Archivaria* 52:26–46



- 654 Yates J (1985) Internal communication systems in American business structures: a framework to aid
 655 appraisal. *Am Arch* 48(2):141–158
 656 Yates J (1989) Control through communications. Johns Hopkins University Press, Baltimore
 657 York R (2006) Ecological paradoxes: William Stanley Jevons and the paperless office. *Hum Ecol Rev*
 658 13:143–147
 659 Young AP (2008) Aftermath of a prediction: F.W. Lancaster and the paperless society. *Libr Trends*
 660 56:843–858

661

662 **Author Biography**

663 **Mark Wolfe** is the Curator of Digital Collections at the M. E. Grenander Department of Special
 664 Collections and Archives at the State University of New York at Albany in the United States. He oversees
 665 the systems and processes required for access and preservation of reformatted and born-digital objects.
 666 Previously, he was Project Coordinator for the US InterPARES Project from 2003 to 2007. Mark earned
 667 masters degrees in the fields of history and library science in 2003.
 668

669