

Stony Brook School of Medicine
Faculty Senate Meeting
March 27th, 2007

Dr. Cedric Priebe (Presiding)

Dr. Scott Johnson (Recording)

Attendance: Please see attendance roster.

5 Handouts were distributed: Basic Science Educator proposal, APT evaluation form, Budget presentation PowerPoint slide handout, and minutes from 1/23/07 plenary meeting and 2/21/07 special meeting.

Dr. Priebe called the meeting to order at 5:05 pm.

I. Review of Minutes of Plenary Meeting of January 23rd, 2007 and Special Meeting February 21st, 2007:

Dr. Johnson

- Minutes of the January 23rd, 2007 meeting and special meeting on February 21st, 2007 were accepted as written, without changes. Dr. Priebe asked that if anyone had any questions or concerns regarding the minutes to address them with him or S.Johnson.

II. Report from the Dean of the SOM

Dr. Fine

- Dr. Fine commented that there are currently three SOM searches in progress, two of them in the Department of Pediatrics and one in the Department of Pathology. Search committees have provided candidates and Dr. Fine is now in the process of negotiations with these candidates. Dr. Fine is hopeful that the process will be expeditious and will culminate within the next 2 weeks.
- Dr. Fine stated that the Department of Biochemistry and Cell Biology is in the process of searching for a new department chair, replacing William J. Lennarz, Ph.D. Distinguished Professor and Chairman, Department of Biochemistry and Cell Biology.
- The Department of Neurobiology has replaced Dr. Lorne Mendell, with his replacement starting sometime in late August or September.
- There is an initiative underway to enhance the neurointerventional capabilities of SBUMC. An announcement regarding the success of this initiative will be made in 1-2 weeks.
- The SOM budgetary process has been lengthier than expected. Dr Fine has completed the budget process with all of the Basic Science Departments and is now in the process of budget negotiations with the Clinical Departments.
- Dr. Fine has reviewed the faculty profiles of each faculty member, reviewing clinical and self-reported activities, academic pursuits and accomplishments, and productivity performance. This review process will allow the enhancement of clinical activity of current personnel and help to better understand what are the needs of the SOM.
- Dr. Fine is hopeful that the entire budget process will be completed by June 18th, much longer than originally anticipated.
- Dr. Fine reiterated that he is always available to the faculty through his e-mail.
- Dr. Fine commented that only 20% of the faculty have completed the online chair evaluations. Dr. Fine expects 100% compliance with this evaluation process as it is important that each chair be evaluated to gauge their effectiveness and to help improve each chair's performance. Every three years each department will conduct an internal review and every 8 years there will be an external review of each department.

III. Budget presentation by University Associate Vice President

Mr. Daniel Melucci

- Mr. Daniel Melucci, Stony Brook University Associate Vice President, presented a detailed description of the NYS/SUNY budget process. (The PowerPoint slides handout of his presentation was distributed at the meeting; several slides are attached to these minutes).
- Mr. Melucci stated that SUNY Chancellor John Ryan has reinstated the process of asking each University President for their input into the budget. This individual university input into the budget had been removed from the process for the previous 8-10 years.
- Mr. Melucci described the 2007/2008 budget process:
 - In 2006/2007 Stony Brook received a significant increase in the utility budget, based on the high costs of oil and gas.
 - SUNY asked for an additional \$19 million for the EIP (Educational Incentive Program). In 06/07 SUNY received \$6 million for the EIP divided among the SUNY campuses with allotments based on research productivity. Stony Brook received \$1.7 million in 06/07 and 18 new faculty lines.
 - Stony Brook has requested \$83.9 million in new funding for 07/08. This included money to pay for 147 additional faculty lines (375 over 5 years) and 81 TA lines. This request was made based on data from comparable peer institutions.
 - On top of a tax-supported base of \$1.1 billion, SUNY requested \$62.8 million for existing base needs plus \$69 million to fund new initiatives (\$35M to fund enrollment growth and \$10M for geographic differential). SUNY budget requests are not campus specific.
 - The Governor's proposed budget protected the base & included \$49.6 million for base needs and \$4.3 million for new initiatives. Thankfully there was no attempt to reduce the base although instead of \$69 million to fund new initiatives, the Governor only proposed \$4.3 million.
 - The Governor then negotiates the budget with the State Legislators; the Assembly and the Senate.
 - The latest news reports that the Assembly proposal includes \$49.6 million for base needs plus a net \$12.6 million for new initiatives (includes a \$9 million IDC tithe) and the Senate proposal includes \$49.6 million for base needs plus \$8.3 million for new initiatives.
 - The negotiated budget is still pending.
- Mr. Melucci then described how Stony Brook's 06/07 state funding was calculated in the BAP model (see attached pie chart, page 6):
 - Stony Brook keeps all of the \$104.5 million in campus generated revenue, 98% of which comes from tuition revenue.
 - \$37.5 million reflects salary increases and utility increases.
- Mr. Melucci then described the 10 year change in the State operating budget (see attached graph, page 7):
 - Salary plans raise budget by 4% each year, which are not negotiable. This is why in 03/04 there was a 4-5% overall cut in the budget, due to the non-negotiable 4% salary increase. In 06/07, Stony Brook had the best budget in 10 years (15% increase), mostly due to NYS fully resolving Stony Brook's utility costs.
- Mr. Melucci then described the 06/07 State funding by VP area(see attached graph, page 8):
 - Mr. Melucci pointed out that more money is spent on utilities (\$42.59 million) than on the entire SOM (\$42.55 million). The SOM budget is only 14.4 % of the entire Stony Brook campus budget.
- Mr. Melucci then described the 2006/2007 SOM budget (see attached graph, page 9):

- Mr. Melucci stated that all of the data in this diagram may be found in the Gray book.
- The clinical practice revenue (56% of SOM funds) is not from fee-for-service revenue. A substantial number of these dollars is money transferred from the hospital to the practice plan. Thus, monetary support from the hospital is funneled through the practice plan.
- Mr. Melucci then described the distribution of SOM (and related interdisciplinary) generated IDC income FY 2005-2006 (see attached graph, page 10):
 - The departments of Biochemistry and Cell Biology, Neurobiology and Behavior, and Biomedical Engineering are departments in both the SOM and the West campus (College of Arts and Sciences, College of Engineering).
 - The IDC (indirect cost) income from the rest of the campus is distributed in an analogous way to the one described here for the SOM.
 - The campus IDC financial plan refers to several line items fees paid to:
 - The Research Foundation
 - System administration for Human Resources, Budget and Accounting, etc.
 - A historic “hole” in the budget from the early `90s when there was a division of the budget. Now we pay \$5 million/year of indirect cost money back to the state.
 - Salary increases for personnel
 - It was questioned whether President Kenny has looked into changing the way IDC income is distributed, as there is a perceived inequity in the current process of allocation. Mr. Melucci responded that the IDC income distribution is established through a campus-wide initiative and that a thoughtful process would be needed to change the current process. Dr. Fine has had a number of discussions with President Kenny regarding the distribution of IDC income and the inappropriateness of some IDC allocations. Dr. Fine is also trying to negotiate the issue of all SOM tuition income staying in the SOM. Dr. Fine stated that President Kenny is still working on it.
 - It was questioned why income from royalties do not go back to the institution (i.e. SOM) that generated the royalties. Mr. Melucci defined how royalty income is distributed: 40% to the inventor (technology licensing program), and additional percentages going to the Provost and the President.
 - It was questioned whether there were any IDC funds allocated towards the library. Mr. Melucci responded that there are 3 categories of IDC funds:
 - Administrative operations= 26%
 - Facilities and operations
 - Library= ~ \$1 million; which is for both sides of campus
- Mr. Melucci then described the summary of requests for new faculty positions during 2006/2007 budget year (see attached graph, page 11):
 - Requests towards the President’s initiative to fund 50 new faculty lines are listed in this slide.
 - The President will fund 50% of the package money for these lines.
- Dr. Fine thanked Mr. Melucci for his report and for the candor and transparency with which he described where the money comes from and where it all goes. Hopefully Mr. Melucci can report to the SOM Faculty Senate on a yearly basis.

IV. Report of the SBUMC CEO

Dr. Strongwater

- Dr. Strongwater, SBUMC CEO, reported on the present status and future plans for SBUMC. He stated that he is extraordinarily enthusiastic about the future of SBUMC.
- Dr. Strongwater announced that the Center for Outpatient Services has just opened, located on the Medical Center campus, adjacent to the Ambulatory Surgery Center. Designed for the comfort and convenience of patients and their families, services

offered within the Center for Outpatient Services include The Imaging Center, The Center for Pain Management, and the Outpatient Cancer Center, which includes the Carol M. Baldwin Breast Care Center, Medical Oncology, Pediatric Hematology/Oncology and Surgical Oncology.

- Dr. Strongwater commented that he is here to help SBUMC develop a 500-year plan. We have been entrusted with resources here at SBUMC that few people have. The 500-year plan is a commitment to excellence in every aspect of what we do. We must measure our performance, compare our performance to “world-class” and then improve ourselves to become “world-class.”
- Dr. Strongwater reiterated his 3 top priorities:
 - Patient satisfaction
 - Patient safety
 - Community connections
- Dr. Strongwater commented that SBUMC cannot succeed without the support of our community; about 1/3 of our patient admissions are from community-based physicians and most of our referrals are from outside the walls of our institution. Our mission statement, in addition to patient care, education and research, includes community service. We must be committed to excellence and we must measure everything.
- Dr. Strongwater commented that one of his goals is for SBUMC to prepare for and apply for the prestigious Baldrige Award. The Baldrige Award is given by the President of the United States to businesses—manufacturing and service, small and large—and to education, health care and nonprofit organizations that apply and are judged to be outstanding in seven areas: leadership; strategic planning; customer and market focus; measurement, analysis, and knowledge management; human resource focus; process management; and results. The criteria are designed to help organizations enhance their competitiveness by focusing on two goals: delivering ever improving value to customers and improving overall organizational performance. Only 7 of 6,000 medical centers have won this award.
- Magnet status, for nursing excellence, is very important to our mission. We will resubmit our application for magnet status.
- Dr. Strongwater commented that in the marketplace we have a very steep road to climb, as we are pretty far behind in our system development. The NSUH/LIJ healthcare system is very mature and the LI Health Network is moderately mature. SBUMC still has a long way to go and we need to commit a lot of time and attention to our systems which are not mature. We need to seriously standardize our care, although it is difficult as academicians typically resist. If we believe in best practices and evidence-based medicine, then we must implement them into everything we do.
- Commitments have been made to significant programs: the new Ambulatory Care Building, the Cancer Center, the Heart Center, and Women’s services (OB/GYN, NICU, etc.). We have sought to recruit Neuroscience faculty from the Cleveland Clinic, who use advanced techniques and new modalities of neurointerventional radiology. If the existing neuroscience research, on both the East and West sides of campus, is married to such a clinical program, SBUMC will become a premier Brain institute.
- Dr. Strongwater commented that we need to be prideful in what we have already accomplished and in where we can go. Faculty retention and recruitment are vital to our future.
- Dr. Strongwater commented that he has been very pleased to work with Dr. Fine and he also recognized Dr. Greene and Carol Gomes for establishing a foundation for quality improvement and measurement.

V. Vote on Basic Science Educator non-tenure track

Dr. Priebe

- Dr. Priebe had distributed the proposed Basic Science Educator non-tenure track via email and by paper at the plenary meeting.

- Dr. Priebe was asked whether or not all Basic Science Chairs were in favor of this track. Dr. Priebe responded that the majority of the Basic Science Chairs were in favor of this track and that only a few Chairs stated that they would not utilize it.
- A vote on the proposed Basic Science Educator non-tenure track was carried out:
 - Yes: 17
 - No: 0
 - Abstentions: 3
- There was not a sufficient quorum; consequently there were not enough votes to approve the proposed track.

VI. Departmental Faculty Senate Elections for 2007-2009

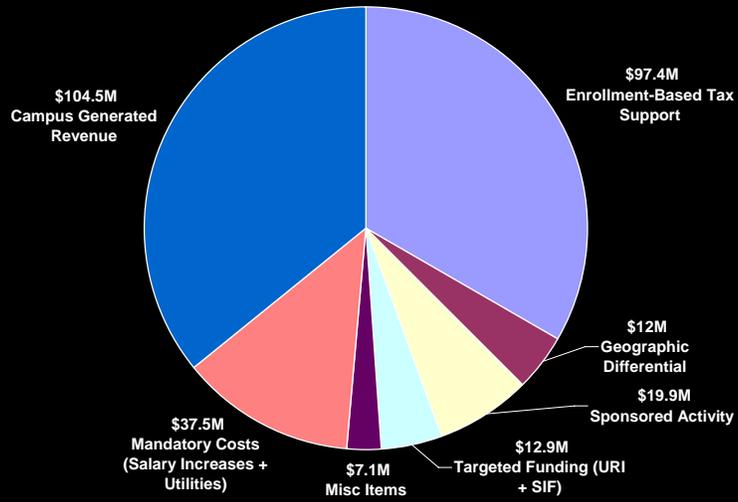
Dr. Priebe

- Dr. Priebe asked the Faculty Senators to remind their respective departments to submit their department nominations for their Senators for the 2007-2009 Academic Years.
- Nominations for Faculty Senate President and Secretary are also needed.

VII. New Business

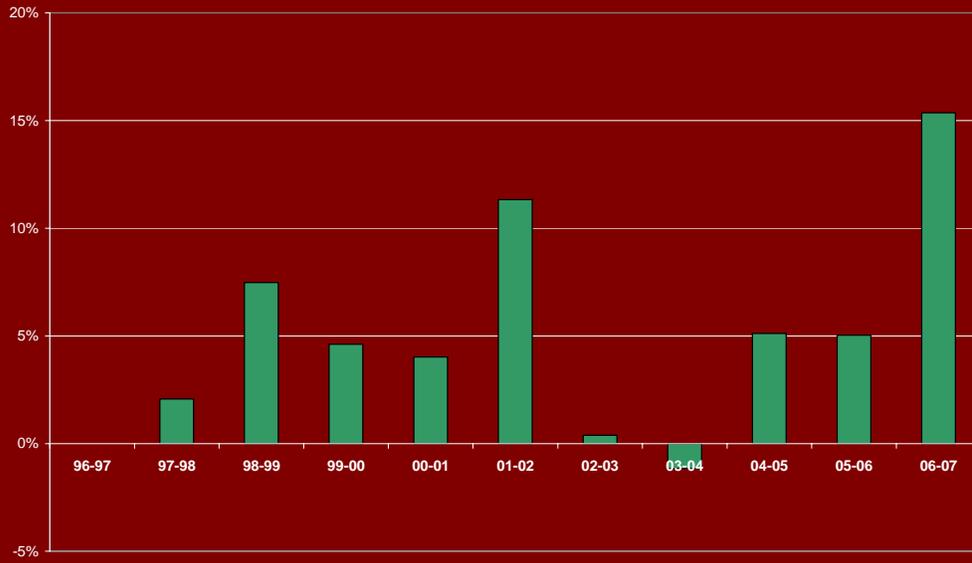
- No new business discussed.
- The next Faculty Senate meeting will be **Tuesday, April 24th at 5pm in LH 2.**
- The meeting was adjourned at 6:40 pm.

How was Stony Brook's 06/07 State Funding Calculated in the BAP Model?

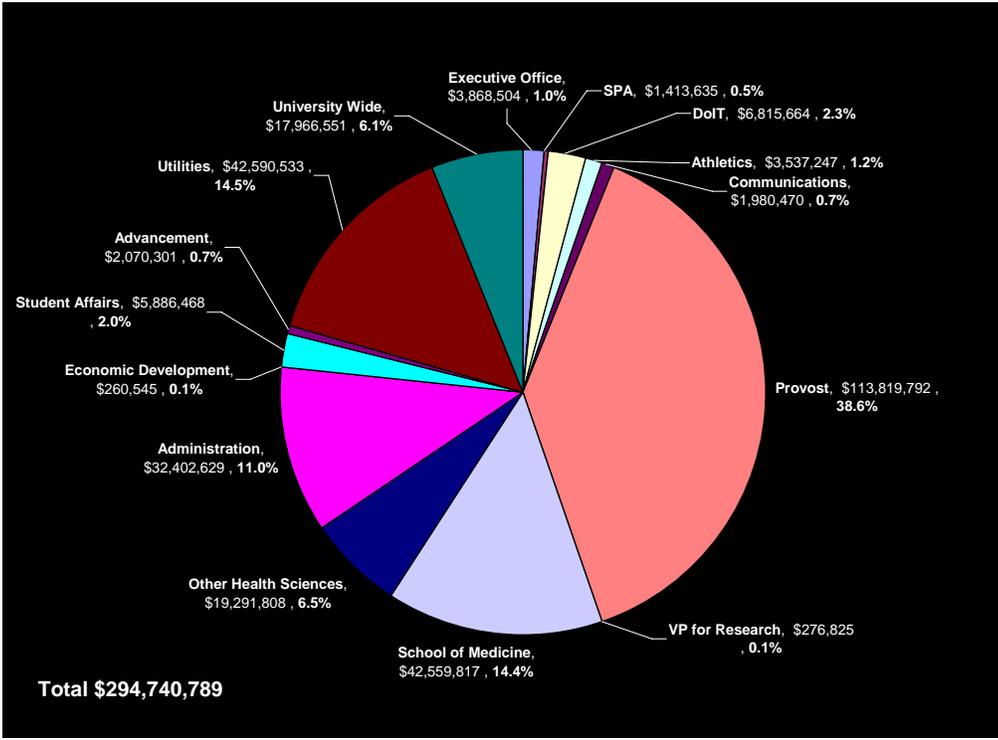


Total 06/07 Financial Plan funding = \$291.3 million

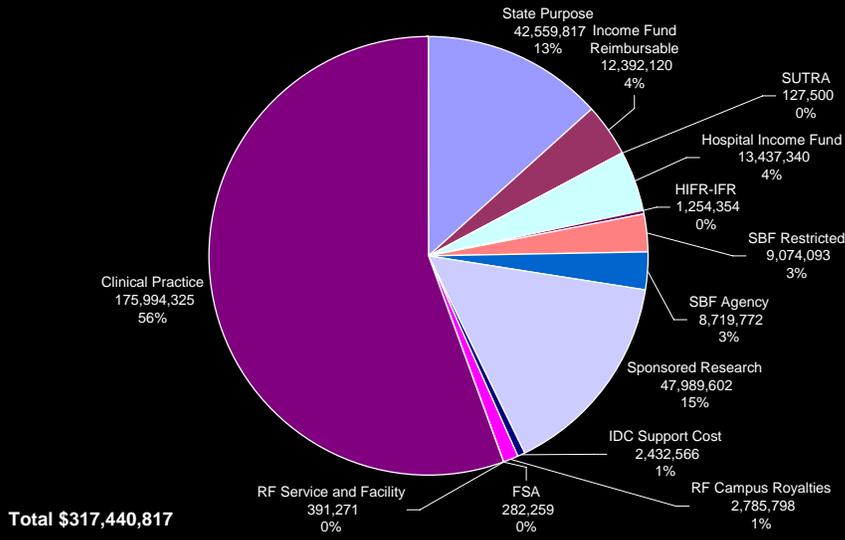
10 Year Annual Change in State Operating Budget



06/07 State funding by VP area



2006/07 School of Medicine - All Funds



Distribution of School of Medicine (and related interdisciplinary) Generated IDC Income			SOM IDC
FY 2005-06			3/23/2007
FY 05/06 published expenditure profile amount:		\$ 20,588,303	
Less:	Biochemistry and Cell Biology	\$ (2,603,586)	
	Biomedical Engineering	\$ (1,381,220)	
	Neurobiology and Behavior	\$ (1,797,178)	
Net IDC generated by School of Medicine		\$ 14,806,319	
Distribution of IDC Income Formula Returns:			
	Chairs and Dean of SOM	13.0%	\$ 1,924,822
	FAH	1.0%	\$ 148,063
	Provost	11.0%	\$ 1,628,695
	VP Research	5.5%	\$ 814,348
	VP Administration	5.0%	\$ 740,316
	President	10.0%	\$ 1,480,632
	Campus Financial Plan	54.5%	\$ 8,069,443
Total Distributions			\$ 14,806,319

\$1,695,833 was transferred to SOM

**Summary of Requests for New Faculty Positions
During 2006-07 Budget Year**

22-Mar-07

Academic Unit	Description	Requested FTE	Requested Support Hire Rate	Requested Start-up Support	Matching Start-up Support	Total Start-up Support
East Campus						
Nursing	Doctor of Nursing Practice Senior faculty	1.00	120,000	75,800	75,800	151,600
Nursing	Doctor of Nursing Practice Junior faculty	2.00	200,000	-	-	-
Social Welfare	Rotating superstar line	1.00	125,000	-	-	-
Social Welfare	Hirings at the rank of full professor	3.00	240,000	-	-	-
Medicine	Clinical and Translational Science Center (C.T.S.C.) - Physicians/Scientists	8.00	1,320,000	-	-	-
Medicine	School of Medicine – Physician Educators	5.00	830,000	-	-	-
Medicine	Center for Outcomes Research – Physician/Scientists	2.00	360,000	-	-	-
Medicine	Center for Human Genetic Studies – Physician/Scientists	2.00	360,000	5,000,000	5,000,000	10,000,000
Medicine	Center for Immunological Diseases and Research	2.00	360,000	-	-	-
Medicine	Institute for Stem Cell Research – Physician/Scientist	1.00	190,000	-	-	-
Medicine	Distinguished visiting professor Medicine in Society/History	1.00	150,000	-	-	-
SHT&M	Research Director Division of Rehabilitation Sciences	1.00	95,000	50,000	50,000	100,000
Dental Medicine	Associate Dean and Senior Scientist	1.00	225,000	225,000	225,000	450,000
Dental Medicine	Craniofacial Scientist	1.00	160,000	125,000	125,000	250,000
Dental Medicine	Craniofacial Scientist	1.00	100,000	125,000	125,000	250,000
Dental Medicine	Prosthodontist	1.00	150,000	12,500	12,500	25,000
Dental Medicine	Cariologist	1.00	135,000	25,000	25,000	50,000
Total		34.00	5,120,000	5,638,300	5,638,300	11,276,600